

NCCR Pre-Proposal for Continuation 3rd phase – Explanations

NOTE: Compared to the funding available for phase 2 the SNSF's budget to fund the 3rd phase of the 2nd call NCCRs was reduced by 30%. The funding for the individual NCCR in the 3rd phase will range from 0% to 100%, but cannot exceed 100% (compared to phase 2). The Research Council will determine the funding level on the basis of the Pre-Proposal (criteria, cf. art. 19 NCCR contract). The SNSF budgeting rules shall be followed (Budgetrichtlinien / Directives budgétaires).

- [1] The summary should present the current research topics, their focus and objectives, and the added value resulting from the NCCR.
- [2] Describe the transition process from the 2nd to the 3rd phase (selection process and criteria), give reasons for changes such as continuation, discontinuation or start of new projects, and include also financial aspects in your presentation.
- [3] Specify the scientific topics, the key questions and the intended scientific contribution for the entire term of the 3rd phase (max. 4 years).
- [4] Describe the structure of the NCCR, i.e. the different participating research groups and its composition in entities and sub-entities (e.g. work packages, modules, clusters, projects). Indicate also the connection / interaction between the different entities.
- [5] Specify the objectives, methods and milestones for each entity and sub-entity. Highlight especially the scientific contribution of each entity / sub-entity to the overarching goals of the NCCR (as presented in sections 2.1./2.2.)
- [6] Describe the NCCR's strategy for knowledge transfer – objectives, measures/instruments, resources – in the 3rd phase in two sections: a) the knowledge transfer to the academic sector; b) the knowledge transfer to the public and private sector.
- [7] Specify the goals, measures and instruments with regard to the two domains for the entire term of the 3rd phase.
- [8] Specify goals, measures and instruments in the domain of communications for the entire term of the 3rd phase as well as for the communication of the scientific and structural perspectives of the NCCR beyond the 3rd phase. Highlight possible focal points of your communication activities during the concluding phase and try to outline scope and timing of concluding event(s).
- [9] Present future structural objectives in two parts; a) structures to be realized at the home institution and b) within the NCCR network. Describe procedures and responsibilities as well as (open) financial or legal issues related to the structural development in the respective sections. Indicate which parts/structures shall be pursued after the 3rd phase.
- [10] The budget for the 3rd phase has to be entered in the report "Phase III Budget" in NIRA. To permit the introduction of data on the project level, you have to prolong the duration of projects that will be continued until the end of phase 3 or you must establish new projects re-

spectively. The usual NIRA reports (1010-1040) shall be submitted with the signature of the NCCR Director. Please include all available funds for the 3rd phase, in all funding sources (incl. transferred funds from phase 2).

Please explain the use of an expected positive balance of “SNSF-funding” and “Self-funding from Home Institution” at the end of phase 2 in a separate document “Comments on phase 3 budget”.

[11] The following documents are mandatory:

1) support letter of the home institution,

2) form “Eigenleistung der Heiminstitution” / “Prestations propres de l’institution hôte”

Support letters of other important participating institutions are welcome, provided that their support is specified in detail. Other annexes can be listed directly on the cover sheet.

27/01/12